

First Policy No.:

Second Policy No.:

### DECLARATION OF INDIVIDUAL TAX RESIDENCE (POS-G03CRS)

Name of Declarer:

 Type of Declarer:  Policy owner  Assignee  Annuitant  Beneficiary

**Note:**

- (1) This is a self-certification form provided by Declarer to YF Life Insurance International Limited ("the Company") for the purpose of automatic exchange of financial account information. The data collected may be transmitted by the Company to Inland Revenue Department for transfer to the tax authority of another jurisdiction.
- (2) All parts in this section must be completed (unless not applicable or otherwise specified). If space provided is insufficient, please continue on Form G03.
- (3) Taxpayer Identification Number or its functional equivalent is abbreviated as "TIN".
- (4) If the Declarer is a tax resident of Hong Kong/ Macau, the "TIN" is the Hong Kong/ Macau ID number.
- (5) If the Declarer has any questions regarding his / her tax residency or related information, please seek independent professional advice from legal or tax expert(s) or visit the website of the Hong Kong Inland Revenue Department/ Macau Government for details.
- (6) This supplement form is considered as a part of application of the above policy(ies).
- (7) Please read instructions and glossary in below websites before completing the form:  
 Hong Kong: [http://www.ird.gov.hk/chi/tax/aeoi/self\\_cert.htm/](http://www.ird.gov.hk/chi/tax/aeoi/self_cert.htm/); Macau: <https://www.dsf.gov.mo/AEOI/?lang=zh&FormType=3#top>

#### Part 1 Declarer's U.S. Tax Status Declaration (Please read remarks on page 2)

1a. Are you a U.S. person, being a U.S. citizen, U.S. resident for U.S. federal income tax purposes or U.S. Resident Alien (i.e., U.S. Green Card holder)?

 Yes → Please submit Form W-9 and fill-in U.S. tax residence and U.S TIN in the below "Jurisdiction of of List".

 No

1b. Were you born in the U.S.?

 Yes → Please submit Form W-9 or W-8 and fill-in U.S. tax residence and U.S TIN in the below "Jurisdiction of List" (if applicable).

 No

#### Part 2 Declarer's Tax Residency

2a. Are you tax resident of Hong Kong / Macau?

 Yes → Please fill-in the below "Jurisdiction of Residence List".

 No

2b. Are you tax resident of other jurisdictions (other than Hong Kong / Macau)?

 Yes → Please fill-in the below "Jurisdiction of Residence List".

 No

If the answer of above questions is "No", please provide explanation in "Supplementary Information".

If a TIN is unavailable, please provide the appropriate reason:

**Reason A** - The jurisdiction where the Declarer is a resident for tax purposes does not issue TINs to its residents.

**Reason B** - The Declarer is unable to obtain a TIN. Please explain why the Declarer is unable to obtain a TIN if you have selected this reason.

**Reason C** - TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

#### Jurisdiction of Residence List

Jurisdiction of Residence	TIN	Enter Reason A, B or C if no TIN is available	Explain why the Declarer is unable to obtain a TIN if Reason B has been selected



**Supplementary Information**

**Part 3 Declarations and Signature**

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by the financial institution for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the Policyholder and any reportable account(s) may be reported by the financial institution to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region/ Financial Services Bureau of the Government of Macau Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the Policyholder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Hong Kong Inland Revenue Ordinance (Cap.112)/ Macau laws and regulations. I certify that I am the Policyholder / I am authorized to sign for the Policyholder of all the account(s) to which this form relates. I undertake to advise YF Life Insurance International Limited ("the Company") of any change in circumstances which affects the tax residency status of the individual identified in this form or causes the information contained herein to become incorrect, and to provide the Company with a suitably updated self-certification form within 30 days of such change in circumstances.

**I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.**

**WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction shall bear any legal liability(ies) arising therefrom.**

\_\_\_\_\_  
Date (MM/DD/CCYY)

\_\_\_\_\_  
Signature of Declarer

**Remarks**

1. United States (U.S.) tax resident refers to U.S. Green Card holder (i.e., U.S. lawful permanent resident) or meet the substantial presence test (i.e., he/ she physically presents in U.S. on at least 31 days during the current year and 183 days during the 3-year period (includes the current year and the 2 years immediately before that)). If your answer is "Yes", please submit form W-9. The calculation of days of presence in U.S. for 3 years period = All the days you were present in U.S. in the current year + 1/3 of the days you were present in the first year before the current year + 1/6 of the days you were present in the second year before the current year.
2. If your answer is "No", not U.S tax resident, but have one or more than one of the following U.S. indicia, e.g., a U.S residential address or correspondence address or P.O. Box, a U.S. telephone number, or an instruction to transfer funds to an account maintained in the U.S., please complete Form W-8BEN and attach any relevant supporting documents.
3. If your place of birth is U.S. but declare not being U.S tax resident, please complete Form W-8BEN and provide supporting document of renunciation or loss of U.S. nationality.